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## Auditory-Motor Mapping Training: The Effects of a Novel Speech Treatment Compared to a Control Treatment for Minimally Verbal Children with Autism --Manuscript Draft--

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<b>Full Title:</b>	Auditory-Motor Mapping Training: The Effects of a Novel Speech Treatment Compared to a Control Treatment for Minimally Verbal Children with Autism
<b>Short Title:</b>	AMMT vs Control Therapy (SRT) for Minimally Verbal Children with ASD
<b>Corresponding Author:</b>	Gottfried Schlaug Beth Israel Deaconess Med Ctr, Harvard Med Sch Boston, MA UNITED STATES
<b>Keywords:</b>	Autism spectrum disorder, intonation, speech therapy, speech-motor functions, auditory-motor mapping, minimally verbal,
<b>Abstract:</b>	<p>This study compared Auditory-Motor Mapping Training (AMMT), an intonation-based treatment for facilitating spoken language in minimally verbal children with autism spectrum disorder (ASD), to a matched, non-intonation-based treatment, Speech Repetition Therapy (SRT).</p> <p>23 minimally verbal children with ASD (20 male, mean age 6;5) received at least 25 sessions of AMMT. Seven (all male) were matched on age and verbal ability to seven participants (five male) who received SRT. Outcome measures were percentage of Syllables Approximated, number of Consonants Correct (of 86), and number of Vowels Correct (of 61) produced on two sets (Trained and Untrained) of 15 bisyllabic stimuli. All subjects were assessed on these measures several times at baseline and after 10, 15, 20, and 25 sessions. The post-25 session assessment was used as a common comparison across participants, compared to best Baseline performance.</p> <p>After 25 sessions, AMMT participants produced 19.4% more Syllables Approximated, 11.9 more Consonants Correct, and 11.6 more Vowels Correct, compared to Best Baseline. In the matched AMMT-SRT group, after 25 sessions, AMMT participants produced 29.0% more Syllables Approximated (SRT 3.6%); 5.9 more Consonants Correct, adjusted for Baseline performance (SRT 0.5); and 4.0 more Vowels Correct (SRT4.0). Chi-square tests showed that significantly more AMMT than SRT participants in both the overall and matched groups improved significantly in number of Syllables Approximated per stimulus and number of Consonants Correct per stimulus. Children receiving AMMT showed a significant improvement in their ability to approximate syllables and produce consonants and vowels correctly. Compared to the SRT-treated children, the matched AMMT group showed greater improvement in more participants in syllable approximation and consonant production. Intonation-based speech-therapy techniques may offer a promising new interventional approach for teaching spoken language to minimally verbal children with ASD.</p>
<b>Order of Authors:</b>	Karen V. Chenausky Andrea C. Norton Helen Tager-Flusberg Gottfried Schlaug
<b>Opposed Reviewers:</b>	
<b>Additional Information:</b>	
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3 Auditory-Motor Mapping Training: The Effects of a Novel Speech Treatment Compared to a Control  
4 Treatment for Minimally Verbal Children with Autism

5 Karen Chenausky<sup>1,2</sup>, Andrea Norton<sup>1</sup>, Helen Tager-Flusberg<sup>2</sup>, Gottfried Schlaug<sup>1,\*</sup>

6 <sup>1</sup>Music and Neuroimaging Laboratory, Department of Neurology, Beth Israel Deaconess Medical  
7 Center, Harvard Medical School

8 <sup>2</sup>Center for Autism Research Excellence, Department of Psychological and Brain Sciences, Boston  
9 University

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11 Running head: AMMT VS CONTROL THERAPY (SRT) FOR MINIMALLY VERBAL CHILDREN  
12 WITH ASD

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14 \*Corresponding author.

15 Email: [gschlaug@bidmc.harvard.edu](mailto:gschlaug@bidmc.harvard.edu)

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## Abstract

This study compared Auditory-Motor Mapping Training (AMMT), an intonation-based treatment for facilitating spoken language in minimally verbal children with autism spectrum disorder (ASD), to a matched, non-intonation-based treatment, Speech Repetition Therapy (SRT).

23 minimally verbal children with ASD (20 male, mean age 6;5) received at least 25 sessions of AMMT. Seven (all male) were matched on age and verbal ability to seven participants (five male) who received SRT. Outcome measures were percentage of Syllables Approximated, number of Consonants Correct (of 86), and number of Vowels Correct (of 61) produced on two sets (Trained and Untrained) of 15 bisyllabic stimuli. All subjects were assessed on these measures several times at baseline and after 10, 15, 20, and 25 sessions. The post-25 session assessment was used as a common comparison across participants, compared to best Baseline performance.

After 25 sessions, AMMT participants produced 19.4% more Syllables Approximated, 11.9 more Consonants Correct, and 11.6 more Vowels Correct, compared to Best Baseline. In the matched AMMT-SRT group, after 25 sessions, AMMT participants produced 29.0% more Syllables Approximated (SRT 3.6%); 5.9 more Consonants Correct, adjusted for Baseline performance (SRT 0.5); and 4.0 more Vowels Correct (SRT4.0). Chi-square tests showed that significantly more AMMT than SRT participants in both the overall and matched groups improved significantly in number of Syllables Approximated per stimulus and number of Consonants Correct per stimulus.

Children receiving AMMT showed a significant improvement in their ability to approximate syllables and produce consonants and vowels correctly. Compared to the SRT-treated children, the matched AMMT group showed greater improvement in more participants in syllable approximation and consonant production. Intonation-based speech-therapy techniques may offer a promising new interventional approach for teaching spoken language to minimally verbal children with ASD.

## 44 **Auditory-Motor Mapping Training: Testing a Novel Speech Treatment for Minimally Verbal Autism**

### 45 **Introduction**

46 Autism spectrum disorder (ASD) is characterized by deficits in social communication and by repetitive  
47 behaviors or restricted interests (APA, 2013). Approximately 25-30% of children diagnosed with ASD  
48 remain minimally verbal past the age of 5 years (Tager-Flusberg, Lord, et al., 2005; Kasari, Brady, et al.,  
49 2013; Tager-Flusberg & Kasari 2013). Lack of spoken language is associated with severely restricted  
50 independence (Venter, Lord et al., 1992; Howlin, Mawhood, et al., 2000) and with elevated rates of  
51 self-injurious behavior, aggression, and property destruction (Dominick, Davis, et al., 2007; Matson,  
52 Boisjoli, et al., 2009). Thus, it is critical for minimally verbal children with ASD to acquire at least some  
53 functional words.

54 Interventions shown to have some efficacy in facilitating the development of functional spoken  
55 language in minimally verbal children with ASD include various forms of Discrete Trial Training (Wolf,  
56 Risley, et al., 1963; Lovaas, 1987), such as Verbal Behavior (Ross & Greer, 2003), Pivotal Response  
57 Training (Koegel, O'Dell, et al., 1987), and Rapid Motor Imitation Antecedent Training (Paul, Campbell,  
58 et al., 2013). Other effective interventions, such as the Early Start Denver Model (Rogers, Hayden, et  
59 al., 2006), Milieu Communication Training (Paul et al., 2013) and PROMPT (Rogers et al., 2006), have  
60 taken naturalistic or developmental approaches to spoken language development (Prizant, Wetherby,  
61 et al., 2000). For a concise overview of the topic, see Paul (2008).

62 Two features are common to the therapies and interventions mentioned above. First, these  
63 therapies involve having children imitate spoken words. Second, outcome measures have primarily  
64 been based on communication rate (i.e., imitations or spontaneous words per unit time) or on

65 standardized measures of expressive language or vocabulary (e.g., Mullen Scales of Early Learning  
66 (MSEL; Mullen, 1995) or MacArthur-Bates Communication Development Inventory (CDI; Fenson,  
67 Marchman, et al., 2007).

68 In the studies above, utterances were judged correct if they were exact or approximate  
69 imitations of the target word. Unfortunately, clear descriptions of what qualifies as an approximation  
70 are either missing or minimal. Some researchers counted production of only the initial consonant of a  
71 word as an acceptable approximation (Ross & Greer 2003). Others required at least one phoneme  
72 (consonant or vowel) of the model to be present in the child's approximation (Koegel et al. 2009).  
73 Yoder and Stone (2006) defined intelligible word approximations as containing "at least one accurate  
74 consonant and vowel combination occurring in the correct position and... either the correct number of  
75 syllables or a developmentally appropriate syllable reduction" (p. 704); however, rates of interobserver  
76 agreement are not provided. While independent use of words in functional contexts is an important  
77 skill for minimally verbal children with ASD, it is equally important to address speech production skill,  
78 as increased intelligibility improves the degree to which a child's conversational partners will  
79 understand his/her words (Yoder & Stone, 2006).

80 We report here a more comprehensive analysis of treatment effects from the use of  
81 Auditory-Motor Mapping Training (AMMT; see our proof-of-concept paper by Wan, Bazen, et al.,  
82 2011), an intonation-based intervention specifically developed to teach spoken language to minimally  
83 verbal children with ASD. AMMT involves intoning words or phrases while simultaneously tapping with  
84 both hands in an alternating pattern on tuned drums, thus co-activating shared auditory and motor  
85 representations of the same manual and vocal actions (Meister, Boroojerdi, et al., 2003; Ozdemir,  
86 Norton, et al.. 2006; Lahav, Saltzman, et al., 2007) and recapitulating the developmental relationship

87 between manual and vocal motor actions (Binkofski & Buccino, 2004; Iverson & Fagan, 2004; Iverson &  
88 Wozniak, 2007; Gernsbacher et al., 2008; Leonard, Bedford, et al., 2015 ). The use of intonation or  
89 music-supported activities to facilitate spoken language development in minimally verbal children with  
90 ASD has been described in case reports documenting its utility in teaching individual children to  
91 produce single words and word combinations (Miller & Toca, 1979; Hoelzley, 1993) and its neurological  
92 basis has been discussed in other work (Heaton, Williams, et al., 2007; Norton, Zipse, et al., 2009; Wan  
93 & Schlaug, 2010). Recently, we reported on the results of a proof-of-concept study (Wan et al., 2011)  
94 supporting a possible effect of AMMT in improving verbal output in six minimally verbal children with  
95 ASD ranging in age from 5;9 to 8;9, showing statistically significant improvement in a within-subject  
96 analysis over 40 therapy sessions (see also Smith et al., 2007 for recommendations and guidelines with  
97 regard to conducting and reporting psychological interventions in minimally verbal forms of autism).

98 Here, we expand upon our previous work (Wan et al., 2011), now including 23 minimally verbal  
99 participants with autism who were treated with AMMT (excluding three pilot participants who were  
100 treated during the development of the therapy; and two subjects (one undergoing AMMT and one  
101 SRT) who were observed to speak in sentences during Baseline assessments and thus were determined  
102 not to be minimally verbal). These subjects will be reported on elsewhere.

103 The present study fills a gap in the literature on spoken language therapy for minimally verbal  
104 children with ASD by comparing AMMT to a non-intoned control therapy in a group of school-aged  
105 children and by examining not only syllables approximated but also number of consonants and vowels  
106 produced correctly. The aims of the present study were, first, to determine whether 25 sessions of  
107 AMMT would facilitate improvement in spoken language in school-aged minimally verbal children with

108 ASD and, second, to ascertain whether AMMT would lead to greater improvement than SRT.  
109 Specifically, we addressed the following questions:

110 (1) Over 25 therapy sessions, would AMMT result in a statistically significant improvement in  
111 percentage of approximately correct syllables and in number of consonants and vowels  
112 correct?

113 (2) How would AMMT compare to SRT on those outcome measures when participants were  
114 matched on chronological age, mental age, and pre-treatment test scores?

## 115 **Materials and Methods**

### 116 **Participants**

117 A pilot phase that included two minimally verbal and one verbal participant with ASD was used  
118 to develop, refine, and standardize the AMMT intervention; those children are not discussed here. In a  
119 second phase, 10 minimally verbal children between 5 and 9 years of age (seven male), diagnosed with  
120 ASD by a pediatric neurologist or neuropsychologist prior to enrollment, underwent 40 sessions of  
121 AMMT; one of those 10 had an additional 20 sessions after the 40 sessions (total of 60 sessions) of  
122 AMMT. In a third phase, 13 minimally verbal children with ASD (13 male) participated in 25 sessions of  
123 AMMT. An additional eight minimally verbal children with ASD (six male) received 25 sessions of a  
124 matched control treatment (Speech Repetition Therapy, SRT). Assignment of participants to AMMT or  
125 SRT was interleaved while the SRT children were enrolled. Approximately twice as many children were  
126 enrolled in AMMT than SRT, with the goal of being able to match SRT children to AMMT participants.  
127 Seven of the children who received SRT were matched to seven AMMT-treated children on the basis of  
128 chronological age, mental age, and performance on the Kaufman Speech Praxis Test (KSPT; Kaufman,

129 1995) and a test of phoneme repetition; their performance is discussed below. The eighth participant  
 130 did not meet criteria for being minimally verbal after Baseline assessment. An additional 30 children  
 131 with autism were found to be ineligible for this study because they could not participate in table-top  
 132 activities for at least 15 minutes, were unable to imitate any speech sounds, were completely non-  
 133 vocal, or had other medical/neurological exclusion criteria. Table 1 details characteristics of the  
 134 included participants.

135 ---TABLE 1 ABOUT HERE---

**Table 1. Participant Characteristics**

	CA <sup>1</sup> (mean, [range])	MA <sup>2</sup> (mean ±SD)	KSPT <sup>3</sup> (mean ±SD)	Phonemic Inventory <sup>4</sup> (mean ±SD )
<b>Overall Group:</b>				
<b>23 AMMT</b>	6;5 [3;5-9;8]		20 ± 10.3	8.2 ± 4.9
<b>7 SRT</b>	5;8 [3;9-8;5]		15.7 ± 7.4	8.8 ± 5.6
<b>Matched Group:</b>				
<b>7 AMMT</b>	6;1 [3;5-8;11]	20.4 ± 8.1	17.5 ± 10.0	7.8 ± 4.5
<b>7 SRT</b>	5;8 [3;9-8;5]	22.3 ± 10.8	15.7 ± 7.5	8.8 ± 5.6

136 1. CA: chronological age (y; mo). 2. MA: mental age (mo), from the Mullen Scales of Early Learning. 3. KSPT:  
 137 Kaufman Speech Praxis Test, Sections 1 and 2. Raw scores are reported, as standard scores are uninformative  
 138 for this population. Maximum score is 74. 4. Phonemic Inventory: the number of English vowels and  
 139 consonants a child is able to imitate. Maximum is 31 phonemes.

140

141 Children were recruited from multiple autism clinics and resource centers serving the Greater  
 142 Boston area. The study was approved by the Institutional Review Board of Beth Israel Deaconess  
 143 Medical Center, and parents of all participants gave written informed consent prior to enrollment.



144 Diagnostic status was confirmed by a Childhood Autism Rating Scale (CARS; Schopler, Reichler,  
145 et al., 1988) score greater than 30 or an Autism Diagnostic Observation Schedule (ADOS; Lord, Rutter,  
146 et al., 1999) score greater than 12. Minimally verbal status, confirmed by parent report and child  
147 performance during initial assessments, was defined as using fewer than 20 intelligible words and no  
148 productive syntax. Inclusion criteria were the ability to correctly repeat at least two speech sounds,  
149 participate in table-top activities for at least 15 minutes at a time, follow one-step commands, and  
150 imitate simple gross motor and oral motor movements such as clapping hands and opening mouth.  
151 One of two tests was used to determine the number of speech sounds children were able to repeat:  
152 (1) the first two sections of the Kaufman Speech Praxis Test (KSPT; Kaufman, 1995), or (2) a phonemic  
153 repetition test where children were asked to imitate 21 consonants and 10 vowels of English.

154 While in the study, children continued with their regular school programs but did not  
155 participate in any speech therapy activities or new treatments outside of school. Aside from ASD,  
156 participants had no other major neurological conditions (e.g., tuberous sclerosis), motor disabilities  
157 (e.g., cerebral palsy), sensory disabilities (e.g., hearing or sight impairment), or genetic disorders (e.g.,  
158 Down Syndrome) that could potentially explain their minimally verbal state.

## 159 **Study Design**

### 160 *Baseline and Probe Assessments*

161 The study began with a series of baseline assessments, after which treatment commenced. Probe  
162 assessments were performed after the 10<sup>th</sup> therapy session (P10), every five sessions thereafter (P15,  
163 P20, P25, etc.), at 4 weeks post-therapy, and at 8 weeks post-therapy.

164 Baseline and probe assessments evaluated participants' ability to repeat two sets of 15  
165 bisyllabic words or phrases; Trained and Untrained. Stimuli were intoned (for AMMT participants) or  
166 spoken (for SRT participants). A description of therapy session structure appears below. Trained  
167 stimuli were explicitly practiced during the intervention sessions. Untrained stimuli were assessed  
168 during baseline and probe sessions but not practiced during treatment; their function was to assess the  
169 degree to which improvements on trained phrases generalized to novel stimuli. During baseline and  
170 probe sessions, prompts for both sets were administered in the same manner used in therapy (i.e.,  
171 intoned for AMMT participants and spoken for SRT participants), but without practice or corrective  
172 feedback. Trained and Untrained stimuli were intermixed and presented in random order.

173 In order to establish a stable baseline, a minimum of three complete baseline probes was  
174 required before beginning the intervention, but because some children required more than one  
175 session to complete each probe, the actual number of baseline sessions per participant varied from  
176 three to seven. Therefore, we first verified that no improvement had occurred prior to therapy. This is  
177 discussed in greater detail below.

178 As mentioned, probes were also conducted after the 10<sup>th</sup> therapy session, after every 5<sup>th</sup>  
179 therapy session thereafter, at 4 weeks post-therapy, and at 8 weeks post-therapy. Because the  
180 number of therapy sessions varied between 25 and 40, and because (for family reasons) five AMMT  
181 participants missed the post 4-week probe session and three missed the post 8- week probe, in this  
182 report we compare Best Baseline performance to performance after the 10<sup>th</sup>, 15<sup>th</sup>, 20<sup>th</sup>, and 25<sup>th</sup>  
183 therapy sessions (P10, P15, P20, and P25). Assessments beyond P25, including post-therapy follow-  
184 ups, are not reported on here.

185 *Stimuli*

186 Trained and Untrained stimuli consisted of 15 high-frequency bisyllabic words or phrases each (30  
187 items total) pertaining to common objects (“bubbles”), actions (“shoes off”) or people (“mommy”)  
188 relevant to children’s activities of daily living. The sets contained similar numbers of vowel types and  
189 of early-developing ([m, b, j, n, w, d, p, h]), middle-developing ([t, ɲ, k, g, f, v, tʃ, dʒ]), and late-  
190 developing consonants ([ʃ, θ, ð, s, z, l, ʒ, r]) (Shriberg, 1993).

### 191 *Treatment Session Structure*

192 Words in AMMT trials were intoned on two pitches that follow the words’ natural contour, at a rate of  
193 one syllable per second. They were accompanied by simultaneous tapping on electronic drums tuned  
194 to the same two pitches (Middle C, 261.6 Hz; and E $\flat$ , 311.1 Hz), one tap per syllable. A  
195 straightforward relationship between musical notes and prosodic structure was chosen because music  
196 and language understanding are related to the level of language disorder (Heaton, Allen, et al., 2008).  
197 Words in SRT trials were spoken (not intoned) at a normal speech rate, and drums were not included.  
198 Aside from these differences, the structure of both AMMT and SRT sessions consisted of the steps  
199 described below:

- 200 1. **Listening:** Therapist introduces target phrase by showing a picture and using it in a semantic  
201 context: “When you were little, you were a *baby*.” Therapist produces target.
- 202 2. **Unison:** “Let’s say it together: ‘*baby*’.” Therapist produces target with the child.
- 203 3. **Unison fade:** “Again: ‘*ba..*’.” Therapist produces initial portion of the target with child, then  
204 fades out while child continues on his/her own.
- 205 4. **Imitation: (4a)** “My turn: ‘*baby*’.” Therapist produces phrase alone. **(4b)** “Your turn: ...”  
206 Therapist remains silent while child imitates target.

207 5. **Cloze:** “Last time: when you were little, you were a...” Therapist presents semantic context for  
208 phrase; child fills in the blank by producing the target independently.

209 Treatment sessions took place five days per week, lasted approximately 45 minutes, and included  
210 repetition and practice of each of the steps above for the 15 Trained words/phrases. Breaks were  
211 provided, during which the child was allowed to play with a preferred toy, have a small snack, or  
212 engage in gross-motor activities such as jumping. These occurred after every five to ten items, based  
213 on the child’s stamina.

#### 214 *Transcription Reliability*

215 All baseline and probe sessions (257 total) were phonetically transcribed and scored by coders blind to  
216 the study time point. Each child’s best Baseline probe (i.e., the one with the largest number of  
217 syllables approximately correct, summed over Trained and Untrained stimuli) was identified for  
218 comparison with his/her subsequent probe sessions. 10% of probes across participants were  
219 transcribed and coded by two independent investigators to assess inter-rater reliability. Results  
220 yielded a Cohen’s  $\kappa = .497$ ,  $p < .0005$ , and 68.0% agreement on syllables approximately correct. For  
221 consonants correct,  $\kappa = .547$ ,  $p < .0005$ , and 70.1% agreement. Finally, for vowels correct,  $\kappa = .270$ ,  
222  $p < .0005$ , and 54.7% agreement. The values of  $\kappa$  are somewhat lower in this study than has been  
223 previously reported for a subset of the participants (Wan et al., 2011); this is due to the use of a  
224 narrower transcription rubric, designed to identify phonemes absolutely correct as well as syllables  
225 approximately correct (see “Measures of Speech Production” below). In addition, values of  $\kappa$  are  
226 reduced when the population under investigation is highly unbalanced in its proportion of “correct”  
227 and “incorrect” items, while percent agreement is not (Feinstein & Cicchetti, 1990). Percent

228 agreement rates are commensurate with previously published figures on infant babbles of 76.8% for  
229 consonants and 44.8 % for vowels (Davis & MacNeilage, 1995).

### 230 *Treatment Fidelity*

231 To assess fidelity, treatment and probe sessions were videotaped and monitored to assess therapists'  
232 adherence to the protocol. A total of 26 baseline or probe files (11%) were assessed. On all AMMT  
233 trials, stimuli were intoned and drums used, and on no SRT trials were stimuli intoned or drums used.  
234 Over a total of 4680 trials assessed, 29 (0.6%) had repeated steps and 7 (0.1%) had omitted steps.

### 235 *Measures of Speech Production*

236 Three measures were used to assess children's performance. The primary outcome measure was a  
237 global measure of emerging speech production. *% Syllables Approximated* was the percentage of  
238 approximately correct consonant-vowel (CV) syllables that a child produced during a probe. A syllable  
239 was considered approximately correct if (a) the consonant produced shared two of three phonetic  
240 features (voicing, place of articulation, manner of articulation) with the target and (b) the vowel was  
241 within the same class as the target, sharing two features (tongue height and backness, which refer to  
242 dorsal/ventral or anterior/posterior position within the mouth, respectively) with the target. For  
243 example, the utterance [gugi] was considered an approximation of "cookie" ([kʊki]) because the  
244 consonants [k] and [g] share place (velar) and manner (stop) features and differ only on voicing ([g] is  
245 voiced; [k] is unvoiced). Also, both [u] and [ʊ] are high back vowels, differing only on tenseness ([u] is  
246 tense; [ʊ] is not). The number of approximately correct syllables per probe was divided by the total  
247 number of syllables in the stimuli (30 per set; 60 total) to yield % Syllables Approximated.

248 Two additional secondary outcome measures, new for this analysis, describe articulatory  
249 precision and are based on a total of 86 consonants and 61 vowels present in the 30 stimuli.  
250 # *Consonants Correct* was the number of correctly produced consonants and # *Vowels Correct* was the  
251 number of correctly produced vowels.

## 252 **Results**

### 253 **Examination of Whether Change Occurred Over Baseline Sessions**

254 To ascertain whether repeated Baseline sessions resulted in therapeutic progress, a repeated  
255 measures ANOVA on % Syllables Approximated was performed. Two levels of Time were used as a  
256 within-subjects factor (first Baseline vs. last Baseline) and Treatment was a between-subjects factor.  
257 There was no significant effect of Time, no significant effect of Treatment, and no significant Time x  
258 Treatment interaction. Thus, despite repeated Baseline sessions, we conclude that no significant  
259 change took place before therapy.

### 260 **Testing for Equivalence between AMMT Subgroups**

261 As mentioned, there were two phases of AMMT research, with different numbers of therapy sessions  
262 during each stage. Thus, we deemed it prudent to ascertain whether performance of the 40-session  
263 subgroup (n = 10) differed from that of the 25-session subgroup (n = 13). To answer this question, a  
264 repeated-measures ANOVA was performed on % Syllables Approximated with Time (Best Baseline vs  
265 P25) as a within-subjects factor and Subgroup (25 vs 40 sessions) as a between-subjects factor. Results  
266 showed a significant main effect of Time ( $F(1,21) = 37.920, p < .0005$ ), but no main effect of Subgroup  
267 and no Time x Subgroup interaction. Thus, the two subgroups were combined in subsequent analyses.

268 **Degree of Change in the AMMT Group**

269 *Percent Syllables Approximated*

270 Figure 1 shows % Syllables Approximated from Best Baseline to P25 for the 23 AMMT participants. A  
271 repeated measures ANOVA on arcsine-transformed % Syllables Approximated and Time (Best Baseline  
272 to P25, inclusive) and Stimulus Type (Trained vs. Untrained stimuli) as within-subjects factors showed a  
273 significant main effect of Time,  $F(4,88) = 14.950$ ,  $p < .0005$ . AMMT participants produced a mean of  
274 26.1% (SD 16.5) Syllables Approximated at Best Baseline, compared to 45.5% (SD 25.9) at P25. The  
275 earliest probe session at which % Syllables Approximated increased significantly over Best Baseline was  
276 P15 ( $p = .007$ ). There was also a significant main effect of Stimulus Type,  $F(1,22) = 23.049$ ,  $p < .0005$ .  
277 AMMT participants produced a mean of 42.6% (SD 25.9) Syllables Approximated in Trained stimuli,  
278 compared to 34.9% (SD 23.3) in Untrained stimuli. There was no significant Time x Stimulus Type  
279 interaction.

280 ----FIGURE 1 ABOUT HERE----

281 **Figure 1. Percent Syllables Approximated By Time and Stimulus Type (AMMT Group).** Lighter lines  
282 represent 95% confidence intervals.

283

284 *Number of Consonants Correct*

285 Figure 2 shows # Consonants Correct from Best Baseline to P25 (inclusive) for the 23 AMMT  
286 participants. A repeated measures ANOVA with # Consonants Correct as the dependent variable and  
287 Time and Stimulus Type as within-subjects factors showed a significant main effect of Time,

288  $F(4,88) = 12.801, p < .0005$ . AMMT participants produced a mean of 16.3 (SD 8.1) Consonants Correct  
289 at Best Baseline, vs 28.2 (SD 14.8) at P25. The earliest probe session at which # Consonants Correct  
290 increased significantly over Best Baseline was P15 ( $p = .047$ ). There was also a significant main effect of  
291 Stimulus Type,  $F(1,22) = 19.438, p < .0005$ . AMMT participants produced a mean of 13.2 (SD 7.6)  
292 Consonants Correct in Trained stimuli and 10.1 (SD 6.9) in Untrained stimuli. There was no significant  
293 Time x Stimulus Type interaction.

294 ----FIGURE 2 ABOUT HERE----

295 **Figure 2. Number of Consonants Correct by Time and Stimulus Type (AMMT Group).** Lighter lines  
296 represent 95% confidence intervals.

297

298 *Number of Vowels Correct*

299 Figure 3 shows # Vowels Correct from Best Baseline to P25 for the 23 AMMT participants. A repeated  
300 measures ANOVA with # Vowels Correct as the dependent variable and Time and Stimulus Type as  
301 within-subjects factors showed a significant main effect of Time,  $F(4,88) = 12.867, p < .0005$ . AMMT  
302 participants produced a mean of 13.4 (SD 10.0) Vowels Correct at Best Baseline and 25.0 (SD 13.8) at  
303 P25. The earliest probe session at which # Vowels Correct increased significantly over Best Baseline  
304 was P10 ( $p = .007$ ). There was no significant main effect of Stimulus Type, and no significant Time x  
305 Stimulus Type interaction.

306 ----FIGURE 3 ABOUT HERE----



307 **Figure 3. Number of Vowels Correct by Time and Stimulus Type (AMMT Group).** Lighter lines  
308 represent 95% confidence intervals.

309

### 310 **Comparison of Matched AMMT and SRT Participants**

#### 311 *Percent Syllables Approximated*

312 Figure 4 shows % Syllables Approximated from Best Baseline to P25 for the matched AMMT and SRT  
313 groups. A repeated measures ANOVA from Best Baseline to P25 inclusive, with Time and Stimulus Type  
314 as within-subjects factors and Treatment as a between-subjects factor, was performed on  
315 arcsine-transformed % Syllables Approximated. There was a significant main effect of Time,  
316  $F(4,48) = 12.812$ ,  $p < .0005$ . Participants produced 32.1% (SD 14.9) Syllables Approximated at Best  
317 Baseline, compared to 48.5% (SD 16.9) at P25. There was also a significant main effect of Stimulus  
318 Type,  $F(1,12) = 14.636$ ,  $p = .002$ . Participants produced a mean of 47.9% (SD 16.6) Syllables  
319 Approximated in Trained stimuli, vs. 38.4% (SD 15.8) in Untrained stimuli. There was no significant  
320 main effect of Treatment, indicating that the groups were not consistently different across all  
321 timepoints (specifically, they were equivalent at Baseline and diverged thereafter). Importantly, there  
322 was a significant Time x Treatment interaction ( $F(4,48) = 8.343$ ),  $p < .0005$ ), indicating that the two  
323 groups showed different trajectories during therapy. The AMMT group improved by a mean of 29.0%  
324 from Best Baseline to P25; the SRT group by only 3.6% over the same number of sessions. There were  
325 no other significant two-way interactions and no significant three-way interaction.

326

----FIGURE 4 ABOUT HERE----

327 **Figure 4. Percent Syllables Approximated by Time and Treatment (Matched Group).** Lighter lines  
328 represent 95% confidence intervals.

329

330 *Number of Consonants Correct*

331 Because visual inspection of the plots of the outcome measures by Time and Treatment showed that  
332 the AMMT group's score on # Consonants Correct was lower at Best Baseline than that of the SRT  
333 group, this variable was tested for group differences at Best Baseline to determine whether a  
334 correction factor was needed. Independent-samples t-tests on the mean Best Baseline score for  
335 AMMT and SRT on # Consonants Correct showed a significant between-group difference (AMMT 8.4  
336 (SD 4.3) vs. SRT 14.4 (SD 8.3),  $p = .03$ ). A two-way repeated measures ANOVA was then performed with  
337 Time and Stimulus Type as within-subject factors, Treatment as a between-subjects factor, and total #  
338 Consonants Correct (summed over Trained and Untrained stimuli) at Best Baseline as a covariate.  
339 There was a significant main effect of Time on adjusted # Consonants Correct,  $F(4,44) = 3.548$ ,  $p = .014$ .  
340 Participants produced an adjusted mean of 9.6 (SD 0.0) Consonants Correct at Best Baseline, compared  
341 to 15.5 (SD 3.2) at P25. There was also a significant main effect of Stimulus Type,  $F(1,1) = 12.674$ ,  
342  $p = .004$ . Participants produced an adjusted mean of 14.7(SD 2.2) Consonants Correct in Trained  
343 stimuli, vs 15.3 (SD 2.7) in Untrained stimuli. There was no significant main effect of Treatment.  
344 However, there was a significant Time x Treatment interaction,  $F(4,44) = 3.598$ ,  $p = .013$ . AMMT  
345 participants improved by an adjusted mean of 5.9 consonants from Best Baseline to P25, while SRT  
346 participants improved by only 0.5 consonants over the same period. There were no other significant  
347 two-way interactions, but there was a significant Time x Treatment x Stimuli interaction,  
348  $F(4,44) = 3.010$ ,  $p = 0.28$ . AMMT participants improved by a mean of 6.3 consonants from Best

349 Baseline to P25 on Trained stimuli, compared to 5.5 on Untrained stimuli. By contrast, SRT participants  
350 improved by a mean of 2.2 consonants from Best Baseline to P25 on Trained stimuli, but decreased by  
351 1.1 on Untrained stimuli. Figure 5 shows # Consonants Correct over time, adjusted by Best Baseline  
352 number of consonants correct, for the matched AMMT and SRT groups.

353 ----FIGURE 5 ABOUT HERE----

354 **Figure 5. Number of Consonants Correct By Time and Treatment, Adjusted for Baseline Performance**  
355 **(Matched Group).** Lighter lines represent 95% confidence intervals.

356

357 *Number of Vowels Correct*

358 Figure 6 shows # Vowels Correct from Best Baseline to P25 for the matched AMMT and SRT groups. A  
359 repeated measures ANOVA, with Time and Stimulus Type as within-subjects factors and Treatment as a  
360 between-subjects factor, was performed on # Vowels Correct for the Matched participants. There was  
361 a significant main effect of Time,  $F(4,40) = 7.066$ ,  $p < .0005$ . Participants produced a mean of 10.5 (SD  
362 4.3) Vowels Correct at Best Baseline, compared to 14.5 (SD 5.5) at P25. There were no other significant  
363 main effects, and no significant two- or three-way effects.

364 ----FIGURE 6 ABOUT HERE----

365 **Figure 6. Number of Vowels Correct by Time and Treatment (Matched Group).** Lighter lines  
366 represent 95% confidence intervals.

367

368 **Number of Responders Per Group**

369 To determine how many of the AMMT and SRT participants responded to therapy, paired t-tests were  
 370 used to compare # Syllables Approximated Per Stimulus, # Consonants Correct Per Stimulus, and  
 371 # Vowels Correct Per Stimulus at Best Baseline and P25 for each participant. For example, the number  
 372 of syllables approximately correct in each stimulus at Best Baseline was compared with the number of  
 373 syllables approximately correct in that stimulus at P25, for each child. *Responders* were those  
 374 participants who experienced a statistically significant increase from Baseline to P25; all others were  
 375 *Non-Responders*. Chi-square tests for association were then performed on the number of Responders  
 376 and Non-Responders in each treatment (AMMT vs. SRT), for the Matched group and for the overall  
 377 group of 23 AMMT and 7 SRT participants.

378 For the Matched group, there was a statistically significant association between Treatment and  
 379 # Syllables Approximated Per Stimulus,  $\chi^2(1) = 10.500$ ,  $p = .001$ . For # Consonants Correct Per Stimulus  
 380 in the Matched group, there was also a statistically significant effect of Treatment,  $\chi^2(1) = 4.667$ ,  
 381  $p = .031$ . Finally, for # Vowels Correct Per Stimulus in the Matched group, there was no significant  
 382 effect of Treatment,  $\chi^2(1) = 1.167$ ,  $p = .280$ . Results are shown in Table 2.

383 ---TABLE 2 ABOUT HERE---

**Table 2. Responders (Matched Group)**

	AMMT (n=7)	SRT (n=7)
<b>% Syllables Approximated Per Word</b>	7/7 (100%)*	1/7 (14%)
<b># Consonants Correct Per Word</b>	5/7 (71%)*	1/7 (14%)
<b># Vowels Correct Per Word</b>	4/7 (57%)	2/7 (29%)

384 \* $p < .03$

385

386

387 For the overall group of 23 AMMT and 7 SRT participants, there was a statistically significant  
 388 association between Treatment and # Syllables Approximated Per Stimulus,  $\chi^2(1) = 11.273$ ,  $p = .001$ .  
 389 For # Consonants Correct Per Stimulus in the overall group, there was also a statistically significant  
 390 effect of Treatment,  $\chi^2(1) = 4.658$ ,  $p = .031$ . Finally, for # Vowels Correct Per Stimulus in the overall  
 391 group, there was no significant effect of Treatment. For Syllables Approximated and Consonants  
 392 Correct Per Stimulus, more AMMT participants than SRT participants showed a significant  
 393 improvement from Best Baseline to P25. Results are shown in Table 3.

394

---TABLE 3 ABOUT HERE---

**Table 3. Responders (Overall Group)**

	<b>AMMT (n=23)</b>	<b>SRT (n=7)</b>
<b>% Syllables Approximated Per Word</b>	19/23 (83%)*	1/7 (14%)
<b># Consonants Correct Per Word</b>	14/23 (61%)*	1/7 (14%)
<b># Vowels Correct Per Word</b>	15/23 (65%)	2/7 (29%)

395 \* $p < .03$ 

396

397

398

**Discussion**

399 The significant improvement over time on percentage of syllables approximated and the number of  
 400 consonants and vowels correct in trained and untrained stimuli for a group of 23 AMMT participants  
 401 replicates our previous results in a small proof-of-concept study (Wan et al., 2011), demonstrating that  
 402 AMMT can improve spoken language and articulation in minimally verbal children with ASD. The lack  
 403 of improvement over repeated Baseline assessments (when participants received no corrective

404 feedback), suggests that the gains are associated with therapy, not just exposure to the stimuli. The  
405 fact that significant improvement on the outcome measures occurred between Best Baseline and P10  
406 (for # Vowels Correct) and between Best Baseline and P15 (for % Syllables Approximated and  
407 # Consonants Correct), with trajectories leveling after P15, shows that the greatest improvement  
408 generally occurs within the first 15 therapy sessions.

409         The lack of a Time x Stimulus Type interaction over the course of therapy indicates that the  
410 children in this study were able to effectively generalize the skills they learned in therapy to words they  
411 had not practiced. However, the presence of a consistent main effect of Stimulus Type on % Syllables  
412 Approximated and # Consonants Correct deserves comment. The stimulus sets were matched for  
413 number of early-, middle- and late-developing consonants; however, there were more unvoiced stops  
414 in the Untrained stimuli than in the Trained stimuli (ten vs five). The lower performance across the  
415 board on the Untrained stimuli may therefore be an effect of phonetic complexity.

416         A significant Time x Treatment interaction on % Syllables Approximated and # Consonants  
417 Correct shows that AMMT resulted in greater improvement than SRT for the matched participants.  
418 This was true even when performance was adjusted for between-group differences in baseline  
419 performance. Adjusted group means on # Consonants Correct were greater for the AMMT group than  
420 the SRT group at P25. In addition, AMMT resulted in significant improvement over 25 sessions for  
421 57%-100% of matched participants, depending on the measure, while SRT resulted in significant  
422 improvement for only one or two participants per measure. For the overall group, AMMT resulted in  
423 significant improvement on all three measures for 14 to 19 of 23 participants, as compared to at most  
424 two SRT participants. In the matched group, 57%-100% of AMMT participants showed significant  
425 improvement. These figures are commensurate with previously reported proportions of participants

426 showing improvement after therapy (Rogers et al., 2006, 60-80%; Paul et al., 2013, 42-50%). Thus,  
427 AMMT appears superior to SRT in facilitating spoken language acquisition in minimally verbal children  
428 with ASD.

429         There are several possible reasons for AMMT's better performance than SRT. First of all, many  
430 children with ASD enjoy listening to and making music (Wing, 1985; Hairston, 1990; Trevarthen, Aitken,  
431 et al., 1996). Including enjoyable musical activities may have increased the effectiveness of AMMT and  
432 provided more opportunities for learning than would have taken place in a less enjoyable milieu. In  
433 addition, the structure of AMMT therapy requires children to tap drums in sync with each syllable they  
434 produce. This may have functioned as a reward, again increasing motivation.

435         Relatedly, music-making (e.g., manually tapping tuned drums in a rhythmic manner and/or  
436 singing words and phrases) engages an auditory-motor brain network (Ozdemir, Norton, et al., 2006;  
437 Lahav, Saltzman, et al., 2007). In particular, the frontal end of the arcuate fasciculus (AF), the inferior  
438 frontal gyrus (IFG), is involved in modality-independent sequencing of perceptual stimuli (Sahin, Pinker,  
439 et al., 2009) and the mapping of sounds to actions (Lahav et al., 2007), and it is connected with motor  
440 plan selection and execution in premotor and motor areas (Rauschecker & Tian, 2000; Rauschecker &  
441 Scott, 2009; Fritz, Poeppel, et al., 2012). Through these processes, the IFG and the AF play a  
442 fundamental role in the feedforward and feedback control of verbal output. Neuroimaging studies  
443 have shown that, relative to typically-developing children, individuals with ASD show micro- and  
444 macrostructural abnormalities and asymmetry reversals in the AF (Fletcher, Whitaker, et al., 2010;  
445 Wan, Marchina, et al., 2012, Catani, Dell'Acqua, et al., 2016). Children with ASD also show anatomical  
446 and functional reversal of the usual left-right asymmetry in the IFG (Herbert, Harris, et al., 2002; De  
447 Fosse, Hodge, et al., 2004; Tager-Flusberg, Lindgren, et al., 2008; Kleinmans, Muller, et al., 2008;

448 Fletcher, Whitaker, et al., 2010; Knaus, Silva, et al., 2010; Floris, Lai, et al. , 2016), often in the presence  
449 of reduced inter-hemispheric connectivity (Lo, Soong, et al., 2011). But auditory and motor regions  
450 and the link between them can be specifically engaged through music making activities, especially ones  
451 that involve the mapping of hand or finger motor activities with sounds or pitched information (Lahav  
452 et al., 2007). In addition, research suggesting that hand and articulatory movements may share neural  
453 correlates (Tokimura, Tokimura, et al., 1996; Gentilucci, Benuzzi, et al., 2000; Meister, Boroojerdi,  
454 et al., 2003; Uozumi, Tamagawa, et al., 2004) further supports the notion that hand-tapping is critically  
455 important for facilitating the coupling of sounds to orofacial and articulatory actions (Lahav et al.  
456 2007). To the extent that music and spoken language share neural resources (Heaton et al., 2007),  
457 then, AMMT may act as a facilitator of spoken language learning in minimally verbal children with ASD.

458 A final reason for the increased effectiveness of AMMT over SRT concerns the hypothesis that  
459 at least some minimally verbal children with ASD experience, along with cognitive and language  
460 impairment, childhood apraxia of speech (CAS) (Newmeyer, Grether, et al., 2007). Treatment of CAS  
461 involves (1) the use of early-developing words or phrases and (2) directing the child's attention to the  
462 visual, auditory, and somatosensory aspects of those words or phrases (Square, 1999). Imitation,  
463 unison production, and a slowed production rate all facilitate speech development in children with CAS  
464 (Caruso & Strand, 1999). AMMT shares these properties with treatments for CAS. Thus, to the extent  
465 that minimally verbal children with ASD may also experience some degree of CAS, the combination of  
466 task type and hierarchies from CAS treatment and the use of intoned stimuli and bimanual tapping may  
467 have a catalyzing effect, producing better spoken language improvement than either one alone. In the  
468 words of Paul et al. (2013), therapies that focus on speech production and that give children even a  
469 small number of words or word approximations "may be enough to 'turn on' the speech learning



470 process” in these children. To the extent that oral-motor skills in infants and toddlers with ASD predict  
471 later speech fluency (Gernsbacher et al., 2008), explicitly improving speech oral-motor ability may  
472 make it easier for minimally verbal children with ASD to benefit from subsequent language- or social  
473 communication-based therapies designed to address other aspects of verbal communication.

#### 474 *Limitations and Future Research*

475 Conclusions from this study are limited, first, by the small number of participants, especially in the  
476 matched group. We are in the process of replicating these results in larger-scale studies with  
477 randomized assignment; extending AMMT to older minimally verbal children and teens is also an  
478 important step. Also, because most of the improvement in this study occurred before P15, further  
479 work should investigate the effectiveness of a shorter course of therapy. If similar amounts of  
480 improvement can be generated in 15 sessions as in 25 or 40, therapeutic efficiency would be increased,  
481 allowing participants more time to take advantage of subsequent therapies and building on the skills  
482 they acquire from AMMT. Finally, because no one therapy works equally well for all children with ASD,  
483 work is ongoing to identify the predictors of therapeutic progress for each type of therapy. In this  
484 regard, further research concerning the presence of signs of CAS in minimally verbal children with ASD  
485 could yield important prognostic indicators and illuminate the nature of the challenges these children  
486 experience in acquiring spoken language.

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493

494

#### **Author Contributions**

495 Conceived and designed the experiments: KC, AN, HTF, GS.

496 Performed the experiments: KC, AN, GS.

497 Analyzed the data: KC, GS.

498 Contributed reagents/materials/analysis tools: KC, GS.

499 Wrote the paper: KC, AN, HTF, GS.

500

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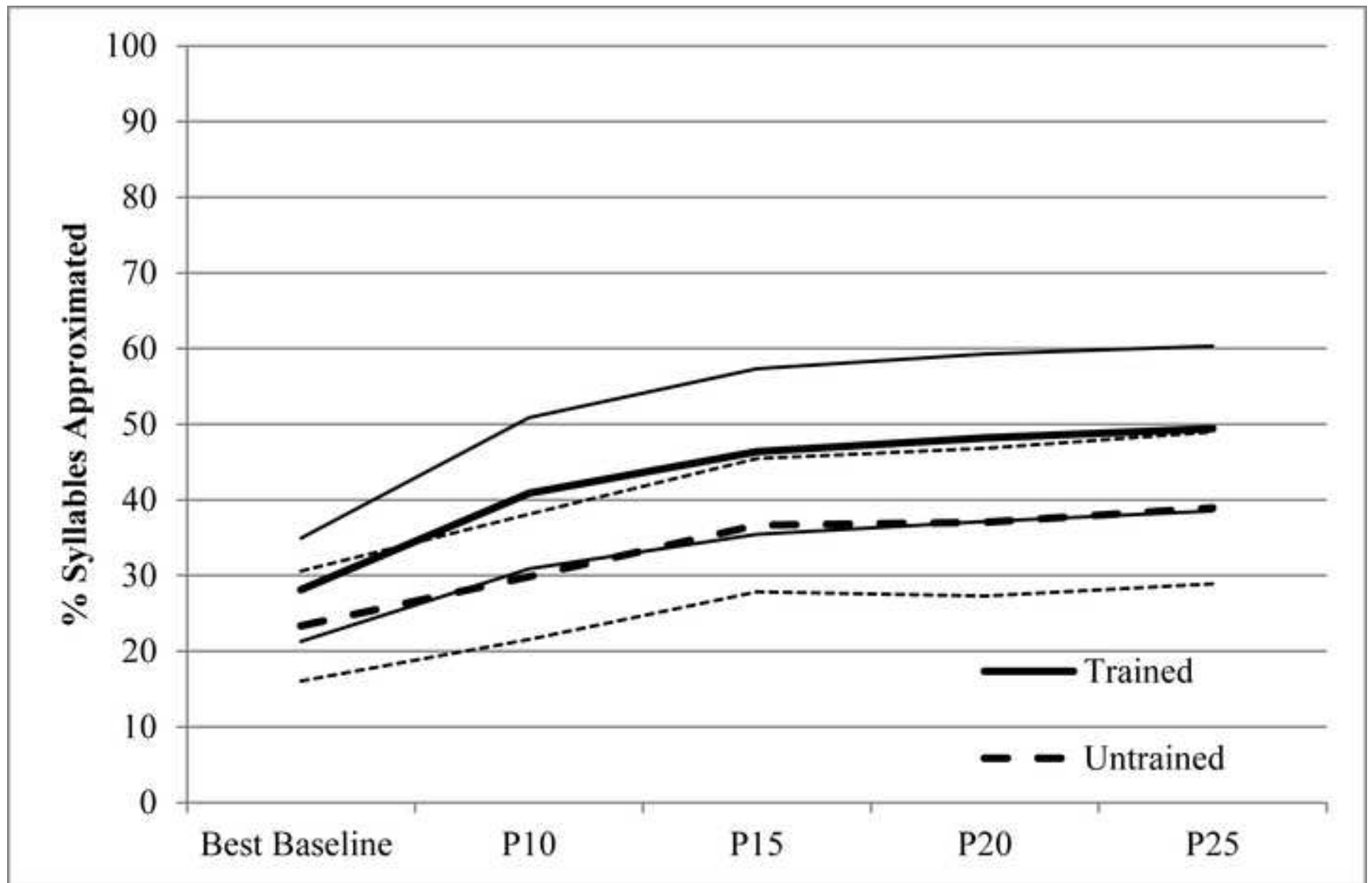


Figure 2

